OF TENTS AND PUBLICATIONS
POR APPLICANT'S
MATION DISCLOSURE STATEMENT
(Use several sheets if necessary)

ATTY DOCKET NO.: S63.2N-6769-US03 APPLICATION NO.: 10/705273 APPLICANT: Brian J. Brown, Michael Davis, David Friesen, Timothy J. Ley and Sean Skubitz

GROUP: 3738 FILING DATE: November 10, 2003

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EXAM'S INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE IF APPROPRIATE
√}	AA	5,091,211	2/25/92	Richard	427/44	
1	AB	5,411,552	5/2/95	Andersen et al.	623/2	
	AC	5,649,952	7/22/97	Lam	606/198	
	AD	5,707,386	1/13/98	Schnepp-Pesch et al.	606/194	
	AE	5,876,432	3/2/99	Lau et al.	623/1	
	AF	5,925,061	7/20/99	Ogi et al.	606/198	
	AG	6,042,597	3/28/00	Kveen et al.	606/198	
	AH	6,056,775	5/2/00	Borghi et al.	623/1.16	
	AI	6,106,548	8/22/00	Roubin et al.	623/1.15	
	AJ	6,132,460	10/17/00	Thompson	623/1.15	
	AK	6,261,319	7/17/01	Kveen et al.	623/1.15	
	AL	6,340,366	1/22/02	Wijay	623/1.13	
	AM	6,355,057	3/12/02	DeMarais et al.	623/1.15	
	AN	6,358,274	3/19/02	Thompson	623/1.15	
	AO	6,471,720	10/29/02	Ehr et al.	623/1.15	
	AP	6,488,703	12/3/02	Kveen et al.	623/1.15	
	AQ	6,520,987	2/18/03	Plante	623/1.16	
	AR	6,533,808	3/18/03	Thompson	623/1.15	
	AS	6,540,777	4/1/03	Stenzel	623/1.16	
V	AT	6,613,081	9/2/03	Kim et al.	623/1.15	
Ve	AU	6,776,793	8/17/04	Brown et al.	623/1.15	
		F	OREIGN P.	ATENT DOCUMENTS		
		DOCUMENT NUMBER	DATE	COUNTRY		
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Ve	DA	60/076,946		3/5/98	Tseng et al.	
Vb	DB	09/623,347	-	11/15/00	Tseng et al.	
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FILING DATE: Concurrently herewith

APPLICANT: Brown et al.

UNPUBLISHED US APPLICATIONS NOT RELATED BY PRIORITY

(COPIES ENCLOSED)

APPLICATION NO. Not yet assigned

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FURM PTC	-1440 (Modified)		ATTY DOCKET NO.: \$63.2-676	9		SERIAL NO.:	09/197,278	
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AC 5,948,016 09/07/99 Jang 623 1	1	AB						1	-		
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VB	AA	5,591,197	1/7/97	Orth et al.	606	198							
	AB	5,697,971	12/16/97	Fischell et al.	623 .	1							
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1.	AG	5,755,781	5/26/98	Jayaraman	623	1							
	АН	5,776,161	7/7/98	Globerman	606	194							
	AI	5,776,183	7/7/98	Kanesaka et al.	623	1							
1	AJ	5,807,404	633										
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1/2,	AL	5,824,059 10/20/98 Wijay 623 1											
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1/				lel-Serial Jang Stents*, Handbook of Coronary Stents, Second	Edition, 158	-171 & 229-234	(1998).						
Vb	CA ·	Beyar et al. The Beste											
	СВ	Beyar et al. "Newer Ste	nıs: Material:	and Designs", LAGS Proceedings, 9(5): 363-371 (June 1997).	•								
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1	СС	Roguin et al, "Acute an	d 30-Day Res	sults of the Serpentine Balloon Expandable Stent Implantation i	n Simple an	d Complex Coro	nary Aneria	ŧ					
W.		Narrowings", The American Journal of Cardiology, 80:1155-1162 (November 1997).											
1/2	CD	Roguin et al, "beStent-the serpentine balloon expandable stent: review of mechanical properties and clinical experience", Artif Organs, 22(3):243-249 (March 1998).											
EXAMIN	ER	April		DATE CONSIDERED UV7/03				-					
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FORM PT		(Modified)		ATTY DOCKET NO: S63.2-6769		Application	No: NA	
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1/2	BF	97/04721	2/13/97	wo				<u>, , , , , , , , , , , , , , , , , , , </u>
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1	ВЈ	97/32544	9/12/97	wo ·	<u> </u>			<u> </u>
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1	BL	97/40780	11/6/97	wo				
	BM	97/40781	11/6/97	wo	<u> </u>			
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Page 2 of 2

FORM M	FORM PTO-1449 (Modified)			ATTY DOCKET NO: \$63,2-5605 Serial No: 08/511,076						
		FORMATION DISCLOSU ATEMENT BY APPLICA:		APPLICANT: Brian J. Brown, Michael L. Da	vis					
<i>:</i> ·	(Us	e several sheets if necessar	iλ)	F[LING DATE: August 3, 1995 Group: 3308						
REFEREN	CE DE	SIGNATION	U.S. P.	ATENT DOCUMENTS						
EXAM'S INIT.		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE			
1/2	۸A	4,553,545	11/19/1985	Maass et al.						
1	ΛВ	4,733,665	03/29/1988	Palmaz						
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	AF	4,856,516	08/15/1989	Hillstend :						
	ХG	4,886,062	12/12/1989	Wiktor						
	AH	4,913,141	04/03/1990	Hillstead						
	Αſ	4.994.071	02/19/1991	MacGregor		_				
Y	ΛJ	5,019,090	05/28/1991	Pinchuk						
1/2	AK 5,035,706 07/30/1991			Gianturco et al.			<u> </u>			
		FOREIGN PATEN	T DOCUMEN	T OR PUBLISHED FOREIGN PATEN	T APPLIO	CATION				
		DOCUMENT NUMBER	PUBLICATION	COUNTRY OF PATENT OFFICE	CLASS	SUBCLASS	TRANSL	ATION		
			DATE		_		YF:S	NO NO		
ib	ВА	0 606 165 AI	07/13/1994	EPO			x	ļ		
	ВВ	0 540 290 A2	05/05/1993	EPO			Х			
	вс	0 364 787 BI	04/25/1990	EPO			x	ļ		
4	BD	WO 94/17754	08/18/1994	PCT .			X	1		
Vz	BE	0 541 443 A1	05/12/1993	EPO			x			
OTHER	AF	T (Including Author	, Title, Date, P	ertinent Pages, Etc.)						
Vg.	CA.	Publishing Company		ing Materiuls, by Serope Kalpakjian, Illinois Institu	ite of Techn	wlogy, Addisc	on-Wesley			
Vs	СВ	A View of Vascular Stents, by Richard A. Schatz, MD, From the Arizona Heart Institute Foundation, Phoenix, Arizona, CIRCULATION, Vol. 79, No. 2, February 1989, pp. 445-457.								
Vo	сс	The Self-Expanding	The Self-Expanding Mesh Stent, by Ulrich Sigwart, SECTION IV, Chapter 29, pp. 605-610.							
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FORM ITTO-	1413 (71	odified)		ATTY DOCKET NO: \$63,2-5005 Serbit No: 08/311,075						
	LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT			APPLICANT: Brian J. Brown, Michael L. Davis						
٠.	(L	STATEMENT (se several sheets if necessiry)	,	FILING DATE: August 3, 1995		Group: 3303				
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EXAM'S INIT.		DOCUMENT NUMBER	DATE	NAME CLASS		SUBCLASS	BCLASS FILING DATE IF APPROPRI			
V4.	м	5,059,211	10/22/1991	Suck et al.						
1	AB	5,102,417	04:07/1992	Palmaz						
	ΛC	5,104,404	04/14/1992	Walff				<u>. </u>		
	AD	5,133,732	07/23/1992	Wiktor						
	λE	5,135,536	08/04/1992	Hillstead				·		
	AF	5,195,984	03/23/1993	Schuz		·	<u>.</u>			
	ΛG	5,197,973	03/30/1993	liess						
7	AH	5,282,823	02/01/1994	Schwartz et al.						
1,	AI	5,292,331	03/03/1994	Boneau						
AJ 5,304,200 04/19/1994				Spaulding						
1/4	АK	5,449,373	07/12/1995	Pinchasik et al.						
	.		FC	DREIGN PATENT DOCUMENTS						
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLA	.TION		
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1/3	AL	6-4175	03/06/1994	neqel						
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OTHER	: A	RT (Including Author,	Title, Dat	e, Pertinent Pages, Etc.)						
VL	AR	Japanese Infringement Sear	ch on Articulate	J Expandable Stents, Dated July 12, 1995.						
-(-	-	CALCULATION OF TAXABLE	ECHANICS TH	rd Edition, Julin A. Roberson and Clayton T. Crowe, pp. 94 and p	p 414-421					
V\$	AS	ENGINEERING FLUID M	· · · ·							
V	AT Countrialize Dictionary of Science and Technology, Cambridge University Pressp. 123									
ENAMINER	<u> </u>	<u> </u>		DATE CONSIDERED W/27/06						
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FORM I	TO-1449	(Modified)		ATTY DOCKET NO: \$63.2-5605		Serial No: (08/311,076		
	INFO	DRMATION DISCLOSURE		APPLICANT: Brian J. Brown, Michael L. Davis					
	(Use	STATEMENT several sheets if necessary)		FILING DATE: August 3, 1995		Group: 330			
REFERE	NCE DE	SIGNATION	U.5	. PATENT DOCUMENTS					
EXAM'S INT.		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIAT		
1/2	AΑ	3,657,744	04/25/1972	Ersek					
1	AΒ	4,141,364	02/27/1979	Schultze					
	ΛC	4,681,110	07/21/1937	Wiktor					
	ΛD	4,693,721	09/15/1987	Ducheyne					
	ΛE	4,786,507	09/06/1933	Fischell et al.					
	AF	4,769,029	09.06/198\$	Patel					
	ΛC	4,787,899	11/29/1958	Lazarus					
	AH	4,830,003	05/16/1989	Wolff et al.					
	AI .	4,856,516	03/15,1989	Hillstead					
	LV	4,922,905	05/08/1990	Strecker					
	AK	4,950,227	03/21/1990	Savin et al.					
	AL	4,950,258	08/21/1990	Kawai et al.	·				
	AM	4,994,071	02/19/1991	MacGregor					
	AN	5,015,253	05/14/1991	MacGregor					
1	AO	5,037,392	08/06/1991	Hillstead					
	AP	5,064,435	11/12/1991	Porter					
	AQ	5,092,877	03/03/1992	Pinchuk					
1	AR	5.104.399	04/14/1992	Lazarus					
+	ÀS	5,103,415	04/28/1992	Pinchuk et al.					
+	AT	5,108,417	04/28/1992	Sawyer					
+	AU	5,122,154	06/16/1992	Rhodes					
+	AV	5,139,480	08/18/1992	Hickletet al			ļ		
+	AW	5,147,385	09/15/1992	Beck et al.					
1	ΛX	5,147,400	09/15/1992	Kaplan et al.					
7	AY	5,158,548	10/27/1992	Lau et al.					
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EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM P	10-1448	(Modified)		ATTY DOCKET NO: \$63,2-5605		Serial No: (08/511,076	
	INFO	RMATION DISCLOSURE		APPLICANT: Brian J. Brown, Michael L. Davis				
	(L'se	STATEMENT several sheets if necessary)		FILING DATE: August 3, 1995	Group: 3308			
REFERE		SIGNATION	U.S	. PATENT DOCUMENTS		L		
EXAM'S INIT.		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCI.ASS	FILING DATE IF APPROPRIATE	
V	AA	5.217.483	06/03/1993	Tower				
	ΛC	5,163,952	11/17/1992	Froix				
	ΛC	5,226,913	07/13/1993	Pinchuk	ļ			
	۸D	5,282,824	02/01/1994	Gianturco				
	ΛE	5,344,425	09/06/1994	Sawyer .				
	λF	5,354,308	10/11/1994	Simon et al.			ļ	
	λG	5,354,309	10/11/1994	Schnepp-Pesch et al.				
	AH	5,383,892	01/24/1995	Cardon et al.				
	AI	5,405,377	04/11/1995	Cragg				
	ΛJ	2,836.181	05/27/1958	J.S. Tapp	ļ			
	AK	3,509,883	05/05/1970	Dibelius				
	AL	3,526,228	09/01/1970	Lyng			ļ	
	AM	4.300,244	11/17/1981	Bokros		<u> </u>	<u> </u>	
	AN	4,319,363	03/16/1982	Ketharanathan				
	AO	4,441,215	04/10/1984	Kaster				
	AP	4,470,407	09/11/1984	Ilussein			<u> </u>	
	AQ	4,550,447	11/05/1985	Seiler, Jr. et al.			· · ·	
	AR	4,740,207	04/26/1988	Kreamer	<u> </u>			
	AS	4,760,849	08/02/1988	Kropf				
	AT	4,762,128	08/09/1988	Rosenbluth				
	ΛU	4,771,773	09/20/1938	Kropf				
	AV	4,795,458	01/03/1989	Regan	- · -			
	/ AW	4,820,298	04/11/1989	Leveen et al.				
	AX	4,877.030	10/31/1989	Beck et al.		,		
In	AY	3,863,956	04/04/1975					
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EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next constitution to applicant.

FORM M	1.0-1448	(Modified)		ATTY DOCKET NO: S63.2-5605		Serial No: 0	087511,076		
į	INF	ORMATION DISCLOSURE		APPLICANT: Brian J. Brown, Michael L. Davis					
	(Use	STATEMENT several sheets if necessary)		FILING DATE: August 3, 1995		Group: 330	3		
REFERE:	CE DI	ESIGNATION	U.:	. PATENT DOCUMENTS					
EXAM'S INIT.		PATENT NUMBER	ISSUE DATE	PATENTEE	C1.ASS	SUBCLASS	FILING DATE IF APPROPRIATE		
Co	AA	4,140,126	02/20/1979	Choudhury					
1	ΛB	4,503,569	03/12/1985	Dotter		·			
	АC	4,512,338	04/23/1985	Balko et af.					
	ΛD	4,553,545	11/19/1985	Maass et al.					
	ΛE	4,560,374	12/24/1985	Hammerslag					
	AF	4,647,416	03/03/1987	Seiler, Jr. et al.					
	ΛG	4,649,922	03/17/1987	Wiktor					
	ΛН	4,655,771	04/07/1987	Wallsten					
	AI	4,665,918	05/19/1987	Garza et al.					
	ĄJ	4,795,458	01/03/1989	Regan					
	AK	4,800,883	01/31/1989	Gianturco					
	AL	4,848,343	07/18/1989	Walisten et af.					
	AM	4,872,874	10/10/1989	Taheri					
	AN	4,873,906	11/07/1989	Lindemann et al.		ļ			
	٨٥	3,105,492	10/01/1963	Jeckel					
	ΛP	3,272,204	09/13/1966	Artandi et al.					
	ΛQ	3,490,975	01/20/1970	Lightwood et al.					
	ΛR	3,562,820	02/16/1971	Braun					
	۸S	3,635,215	01/18/1972	Shea et al.					
	ΛT	3,771,526	11/13/1973	Rudie					
	ΛU	3,993,078	11/23/1976	Bergentz et al.					
	ΑV	4,078,167	03/07/1978	Banas-et al.	_				
	AW	4,127,761	11/28/1978	Pauley et al.					
	AX	4,130,904	12/26/1973	Whalen					
Va	AY 4,164,045 09/14/1979			Bokros et al.					
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FOR	ORM PTO-1449 (Modified)				ATTY DOCKET NO: \$63.2-5605	Serial No: 03/511,076				
		INFO	RMATION DISCLOSURE		APPLICANT: Brian J. Brown, Michael L. Davis					
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V	5	۸A	4,214,587	07/29/1980	Sakura, Jr.					
/		AB	4,313,231	02/02/1982	Koyamada					
		ΛC	4,425,908	01/17/1984	Simon .					
		'nD	4,501,264	02/26/1985	Rockey					
		ΛE	4,535,770	08/20/1985	Lemole					
		AF	4,580,568	04/08/1986	Gianturco .	<u> </u>				
		λG	4,597,389	07/01/1986	Ibrahim et al.					
		ΑН	4,655,776	04/07/1987	Lesinski					
		AI	4,795,453	01/03/1989	Regan					
	1	AJ	4,795,465	01/03/1989	Marten	<u> </u>				
-	V,	AK	4,842,575	06/27/1989	Hoffman, Jr. et al.					
	Ve	AL	4,851,009	07/25/1989	Pinchuk					
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	RM PTO-1449 (Modified) INFORMATION DISCLOSURE		ATTY DOCKET NO: \$63.3	ATTY DOCKET NO: S63.2-5605 Serial No									
		MATION DISCLOSURE MENT BY APPLICANT	APPLICANT: Brian J. Bro	vn, Michael L. Davis									
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OTHER D	OCUN	IENTS (Including Author, Title, Da	te, Relevant Pages, Place of P	ablication)									
VB	СЛ	Improved Dilation Catheter Balloon August 1986, pp. 291-296.	s, by Stanley B. Levy, Ph.D., J	OURNAL OF CLINICAL ENGINEER	ING, Vol. 11, No. 4, July								
2	СВ	Self-expanding Stainless Steel Biliar	y Stents ¹ , By Harold G. Coons,	MD, <u>RADIOLOGY 1939</u> , Vol. 170, N	o. 3, Part 2, pp. 979-983.								
\top	СС	Technical Note Entitled Modification	chnical Note Entitled Modifications of Gianturco Expandable Wire Stents, By Barry T. Uchida et al., AJR, Vol. 150, May 1988, pp. 85-1187.										
1	¢D.	Brochure from Cook Incorporated re	egarding Gianturco-Rosch Bilian	y Z-Stents**.									
1	ÇE	Expandable Biliary Endoprosthesis:	pandable Biliary Endoprosthesis: An Experimental Study, By Carrasco et al., AIR, Vol. 145, December 1985, pp. 1279-1282.										
	CF		anturco Espandable Metallic Biliary Stents: Results of a European Clinical Trial, By Irving, et al., Interventional Radiology, Vol. 2, No. 2, August 1989, pp. 321-326.										
	CG		Tracheobronchial Tree: Expandable Metallic Stents Used in Experimental and Clinical Applications', Work In Progress, By Wallace et al., RADIOLOGY, February 1986, pp. 309-312.										
,	СН	Brochure Entitled AVE MICRO STE	NT", Instructions for Use, By	Applied Fascular Engineering, Inc., pp.	1-15.								
Us	CI	Brochure Entitled MICRO STENT	By Applied Vascular Engineer	ing, Inc.									
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FORM PTO	J-1449 PATE	(Modified) NTS AND PUBLICATIONS	ATTY DOCKET NO.: S63.2N-9969-US APPLICATION NO.: 09/878596						
	FOR A	PPLICANT'S N DISCLOSURE STATEMENT		APPLI	CANT: Brian J. Brown et al.				
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VB	AA	5716365	2/	10/98	Goicoechea et al.	606/108			
1	ΑB	5855600	1/	5/99	Alt	623/1			
	AC	5868780	2/	9/99	Lashinski et al.	606/198			
-	AD	5895407	4/	20/99	Jayaraman	606/198			
	ΑE	5913895	6/	22/99	Burpee et al.	623/1			
	AF	6053941	4/	25/00	Lindenberg et al.	623/1			
	AG	6183506	2/	6/01	Penn et al.	623/1.15			
1	AH	6193747	2/	27/01	Von Oepen	623/1.15			
UR	AI	6231598	5/	15/01	Berry et al.	623/1.15			
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	FOR A	APPLICANT'S	APPL P. Sku	ICANT: Brian J. Brown, Michael I	Davis, David Frieser	n, Timothy J. Ley and Scan					
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y.	CA	Developments, pages 59-62	2 (June 199								
Vs.	СВ	Melzer, A. et al., "Perform Proceedings of SMST-94.7 Technologies, pages 401-4	he.First In	ovement of Surgical Instrumenta ternational Conference on Shap 7-10, 1994).	tion Through the e Memory and Su	Use of Ni-Ti Materials, perelastic					
	СС										
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	FOR A	APPLICANT'S N DISCLOSURE STATEMENT		PLICANT: Brian J. Brown, Michael Dav Skubitz	is, David Friesen	, Timothy J. Ley and Sean				
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18	AA	6,206,911	3/27/0	1 Milo	623/1.15					
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	ORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS			ATTY DOCKET NO.: S63.2-6	769	APPLICAT	TION NO.: 09/197,278		
LIS		'ATENTS AND PUBLICAT FOR APPLICANT'S	IONS	APPLICANT: Brian J. Brown	et al.				
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1	REFER	ENCE DESIGNATION		U.S. PATENT AND PUBLISHED APPLICATION DOCUMENTS					
EXAM'S INIT.		DOCUMENT NUMBER	DATE	NAME		CLASS/ SUBCLASS	FILING DATE IF APPROPRIATE		
UB	AA	6,068,656	5/30/00	Von Oepen	-	623/1.17			
W	AB	6,129,755	10/10/00	Mathis et al.		623/1.15			
2	AC		_			•			
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	ON NO.: 09/878596								
	FOR A	NTS AND PUBLICATIONS APPLICANT'S N DISCLOSURE STATEMENT		APPLI P. Skub	CANT: Brian J. Brown, Michael Da itz	vis, David Frieser	n, Timothy J. Ley and Sean		
INFORIV	IA I IU.	(Use several sheets if necessary)		FILING	G DATE: June 11, 2001	GROUP: 37	31		
REFE	RENC	E DESIGNATION		U.S.	PATENT AND PUBLISHED A	APPLICATION	N DOCUMENTS		
EXAM'S' INIT.	·	DOCUMENT NUMBER	D	ATE	NAME	CLASS/ SUBCLASS	FILING DATE IF APPROPRIATE		
W	AA	6,348,065	2/	19/02 ⁻	Brown et al.	623/1.16			
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			ATTY DOCKET NO.: S63.2N-9969-US		APPLICATION NO. 09/878596		
UNPUBL)	SHED	US APPLICATIONS	APPLICANT: Brian J. Brown, Michael Davis, David Friesen, Timothy J. Ley and Sean P. Skubitz				
			FILING DATE: June 11, 2001		GROUP: 3731		
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2 of 2

ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18 Stylesheet Version v18.0

> Title of Invention

IMPROVED LONGITUDINALLY FLEXIBLE EXPANDABLE STENT

Application Number:

09/878596

09/878596

Confirmation Number: 4515

First Named Applicant:

Brian Brown

Attorney Docket Number: S63.2N-6769-US02

Art Unit:

3731

Examiner:

Vy Q. Bui

Search string:

(5855597 or 6395020).pn.

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

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Signature

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Electronic Information Disclosure Statement

IMPROVED LONGITUDINALLY FLEXIBLE EXPANDABLE STENT

Application:

09/197278

09/197278

Confirmation:

1094

Applicant(s):

Brian Brown

Docket Number:

S63.2-6769

Group Art Unit:

3731

Examiner:

Vy Q. Bui

search string:

(5609627 or 5716393 or 6231598).pn.

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Remarks

(Remarks are not for responding to an office action.)

This statement qualifies as a no-fee Information Disclosure Statement under 37 C.F.R. section 1.97 (b) or otherwise because to the knowledge of the undersigned attorney it is being filed before the mailing date of a first Office Action after the filing of a request for continued examination (RCE) under section 1.114. Nevertheless, if any fee is due with the submission of this IDS, please charge

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